

DECLARATION

I do hereby declare that the thesis entitled: “*Legal Framework for Regulation of Financial Instruments: With Special Emphasis to Financial Derivatives*” for the award of the degree of Doctor of Philosophy is a record of original research work carried out by me under the guidance and supervision of Dr. M.C. Valson, Professor, NUALS, Kochi. I further declare that this work has not previously formed the basis for the award of any degree, diploma, associate-ship or any other title or recognition.

Kalamassery, Kochi
Dated: 30.06.2016

John Varghese

CERTIFICATE

This is to certify that the thesis entitled: “*Legal Framework for Regulation of Financial Instruments: With Special Emphasis to Financial Derivatives*” submitted by John Varghese, for the award of the degree of Doctor of Philosophy is to the best of my knowledge, a bonafide research work carried out by him, as a part-time research scholar, National University of Advanced Legal Studies, Kalamassery, Kochi, under my guidance and supervision. This thesis or any part thereof has not been submitted elsewhere for the award of any degree, diploma, associate-ship or any other title or recognition.

Kalamassery, Kochi
Dated: 30.06.2016

Dr. M.C. Valson,
(Supervising Guide)

CERTIFICATE

This is to certify that the thesis entitled: “*Legal Framework for Regulation of Financial Instruments: With Special Emphasis to Financial Derivatives*” has been presented in a research seminar held at the National University of Advanced Legal Studies, Kalamassery, Kochi, on 23rd April, 2016.

Kalamassery, Kochi
Dated: 30.06.2016

Dr. M.C. Valson,
(Supervising Guide &
Chairman, Research Committee)

CERTIFICATE

This is to certify that all relevant corrections and modifications suggested by the audience during the pre-submission seminar and recommended by the Doctoral Committee has been incorporated in the thesis entitled: "*Legal Framework for Regulation of Financial Instruments: With Special Emphasis to Financial Derivatives*" submitted by John Varghese for the award of Doctor of Philosophy.

Kalamassery, Kochi
Dated: 30.06.2016

Dr. M.C. Valson,
(Supervising Guide)